



Summary Report of the Fourth SADC EWG PFM Meeting

2 to 6 October 2017, Arusha, Tanzania

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Background

The Southern African Development Community Expert Working Group for Participatory Forest Management (SADC EWG PFM) and its associated Operational Guidelines were established by the Joint Coordinating Committee (JCC) in September 2016 with support from the SADC-JICA Project for Forest Conservation and Sustainable Management of Forest Resources in Southern Africa (“the Project”). The first meeting for EWG PFM was conducted in Moshi Tanzania from 28 November to 2 December 2016. The main objectives of the meeting were to discuss the project activities including the study tour and develop an annual plan for 2017. The second meeting of EWG PFM was conducted jointly with RECOFTC in Thailand from 1 to 12 February 2017 to follow-up the activities discussed during the first meeting and to examine and appreciate participatory forestry management concepts, strategies and principles applied in Thailand context. The third EWG PFM meeting was conducted in Johannesburg, Republic of South Africa from 23 to 25 May 2017. The meeting aimed to discuss and agree on reporting system for SADC PFM good practices and to determine next steps for drafting the SADC PFM guideline. The fourth EWG PFM Meeting was held in Arusha, Tanzania. According to the JCC decision, there were 2 representatives from each MSs that joined the meeting. Throughout all PFM EWG meetings, Mr. Ronnakorn Triraganon of the Center for People and Forests (RECOFTC) conducted facilitation of most of the sessions based on the Partnership Program made between JICA and RECOFTC.

Meeting objectives

Within the 5-day meeting, SADC EWG PFM aimed to

- 1) Brush up **SADC-PFM guideline part1 draft version 0**
- 2) Collect PFM **Various Practices** from SADC MSs
- 3) Analyze needs of **PFM Regional Database**
- 4) Discuss way of making the **action plan** and selecting **external funds**
- 5) Agree on draft idea of the **skill-up training**
- 6) Draft **Annual Work Plan for 2018/19**

Highlights of the fourth EWG PFM meeting

Below is the summary of what has been discussed in the fourth EWG PFM meeting.

1) Opening session

The meeting was opened by Mr. Haule Christognus, the Principle of the Forest Training Institute - Olmotonyi, under Ministry of Natural Resources and Tourism (MNRT) on behalf of Tanzania government as the host country. He shared the welcome note with a great support from the Government of Tanzania. At the moment the Ministry of Natural Resources and Tourism through the Division of Forestry and Beekeeping is currently reviewing the National Forestry Policy which has been there since 1998. The guideline that the SADC EWG PFM is working will somehow have elements to support this new policy which will help Tanzania Government in the implementation of PFM for the benefits of all Tanzanians. Mr. Yoshiro Kurashina, the Chief Advisor of the Forest Conservation and Sustainable Management of Forest Resources in Southern Africa Project, shared a welcome note to all delegates from SADC and what the third JCC meeting approved. These covered Annual Work Plan for April 2017 to March 2018, and Plan of Operation for PFM from 2015 to 2020 including the Regional PFM Guideline development, skill up training, database development and training, external funding guideline and action plan on external funding as well as the guidelines on the website of SADC-Regional Forest Information System (RFIS).

2) Messages from Madam Chair

Madam chair, Ms. Mmakwena Maleka has shared what we have discussed so far from the second and third meeting. This covered the progress of the SADC Regional PFM Guideline, collection of good practices from SADC Member States, and topics for training. She also raised a concern on the long process to complete the SADC Regional PFM Guideline. This concern was seconded by representatives from Zimbabwe and Botswana. It would be good to complete this guideline shortly so that we can try it in the field and SADC EWG PFM can pay attention on something else.

3) External Funding

Mr. Kei Suzuki, from JAFTA, reviewed key areas SADC MSs may consider while seeking for external funding supports for their PFM works. SADC MSs should understand

- **General trends of funding opportunities:** More or less most bilateral or multilateral agreement focus more on climate change, biodiversity conservation, sustainable forest management, and desertification. These funding opportunities may include Global Environment Facility (GEF), Green Climate Fund (GCF), the Forest Carbon Partnership Facility (FCPF), the Joint Crediting Mechanism (JCM), (Verified Carbon Standards (VCS), Global Environmental Facility (GEF)
- **Sustainable forest management:** Climate related fund could cover or finance SFM if it links with the drivers of deforestation, forest degradation and climate change adaptation which link to national RED+ strategy and adaptation plan. Potential funding may come from JICA, or GIZ.
- **Climate change and forests:** there are approximately around 10 billion USD under the Green Climate Fund. There is about 10% to 20% available for forests under the context of climate change mitigation and adaptation.
- **Advantages and requirements for each funding:** scale. Financed entity, total funds, timing for payment, prerequisites, and so on

Mr. Kei showed briefly the External Funding handbook developed by JICA in 2017 for potential cooperation to promote REDD+. From question and answer session, participants are interested to learn more how to ensure the project proposal will be funded and how to explore other financing opportunities such private sector investment in forest restoration, carbon market, philanthropic funding, and more. Action plan will be formulated in coming EWG-PFM.

4) PFM Database:

Based on the agreement in the third JCC meeting, each country sent 2 persons to join the fourth EWG PFM meeting. Mr. Nonaka took this opportunity to review and explain, particularly for the new participants, the main objectives of having the database for SADC PFM, approaches used, results of EWG meeting so far, and key lessons learned. He also showed the interface image of database system and reiterated the need for this database system in supporting PFM in the region. There was some clarification needed among participants how these database, regional guideline and information management system work or support each other and link with the regional PFM guidelines.

Mr. Mai also explained the way how we will develop the database system. There are steps involved: system requirements, analysis, design, programming, testing, and maintenance. He also showed example of prototype for this PFM database.

SADC EWG PFM members had group discussions to identify categories needed for database system. Main categories for key word were identified as follows:

- Main objectives
- Types of benefits
- Forest types (protected, reserved, etc.)
- Forest types (Ecological)
- Benefit sharing mechanisms
- Types of management system
- Threats
- Land tenure (system)
- Types of funding
- Institutional arrangement
- Policy and legislation
- Forms of participation
- Implementation approaches.

EWG members also presented their PFM practices according to the template agreed from the second and third meeting. After all presentations, people drew collective lessons. These lessons are benefit sharing mechanism, private sector initiatives, participatory land-use planning, recognizing and using customary norms for forest management, importance of having village forest management plan as an agreement, how to set quota, funding sources, getting ownership from individual and community level, diversified forest based products, market based product, and importance of good communication and coordination.

During the meeting, EWG members shared concerns on the design of template to capture PFM practices and lesson learned from SADC countries. Mr. Nonaka proposed three options to accommodate broader cases;

- Option 1: Collection from local government and/or NGOs
- Option 2: Same process as we have done
- Option 3: Stop collecting more information for the database

As the EWG members still have not done proper reflection on issues and challenges of using the template, the EWG members could not decide for a solution for the next steps. PMU may continuously consider on how to collect PFM practices for guideline and database and discuss with EWG members in the fifth meeting.

5) Field Visit

During the meeting, all EWG members got opportunity to visit the Forest Training Institute of Tanzania (FTI), Olmotonyi and learned about its capacity development program to support PFM. SADC EWG members also got opportunity to discuss about the Empowering Communities through PFM, REDD+ and Climate Change Initiatives Project (ECOPRC), and financing mechanisms for PFM in Tanzania. Later the members then visited a co-management case in Lake Duluti Forest Reserve. They found the field visit was useful. Through an interactive reflection exercise we all draw collective lessons as follow;

- Financing mechanisms
- Benefit sharing and its mechanism
- Local involvement to create ownership
- Collaboration between TFI and community
- Co-management for the Duluti lake
- Transparency and good governance within the village
- Training and capacity development approach
- Research: invasive spp
- Monitoring system that leads to adaptation
- Coordination among relevant authorities and stakeholders
- Gender within TFI organization

6) Version 0 of SADC PFM Guideline part 1

Mr. Moombe from CIFOR gave a brief update where we are now, sharing his concerns for writing, and proposed what we should get out from this meeting. EWG PFM members spent time to review the guideline version 0. Mr. Ron suggested the team members should not go in too detail this time but focus on

- The main objectives of this guidelines
- Does the document serve objectives? Any suggestion?
- Any suggestion to improve structure or outline?
- Are we ok with content cover and its balance across chapters?
- How to ensure the link between PFM Guideline part 1 and part 2? Any suggestion?

EWG PFM members discussed and agreed with new main objectives for the guidelines as below:

- Develop **common understanding** on the concept as well as practices of PFM across the SADC region

- Provide **guiding framework** on how to promote and support PFM within region
- Provide **guidance on how to mobilize resources** in supporting PFM implementation in the region

EWG members worked in small groups and discussed how to make the guideline look better. Written feedback and comments on structures and link with guideline part 2 were shared to the PMU. Members agreed the structure of the guidelines must be revised, simpler, and it requires better link with the guideline part 2.

EWG members proposed some suggestions for next meeting in order to get more contributions from all members. Some suggestions for the next meeting are

- Share the document 2 weeks before the next meeting that would allow enough time to review.
- Provide clear guidance what to review
- Provide update references for Mr. Moombe to review and incorporate into the next draft
- Should make the guideline available in French and Portuguese language

The next steps has been agreed how to move forward.

Tasks	When	Who
Comments and proposals on objectives and structure of the guideline	Fourth meeting	EWG members
Finalization of the structure of the guideline	Next week	PMU
References checked	By end Nov 2017	Moombe, Ron and PMU
Prepare draft ver. 0.5 according to feedback and comments	By the end of February 2018	Moombe
Review and comments	By the end of March 2018	PMU
Prepare draft ver. 1.0 according to PMU feedbacks	By the end of April 2018	Moombe and Ron
Share draft ver. 1.0 with EWG	2 weeks before the fifth meeting	PMU
Discuss draft ver. 1.0 with EWG	May 2018	All

7) Skill-up training

PMU by Mr. Nonaka reviewed what we have discussed so far and topics selected previously. PMU proposed two training topics, namely “Organizational development skills for PFM” and “Business

skills for PFM” and they were accepted by EWG PFM members. The first training will be conducted for 30 participants in November 2018.

8) Next steps

PMU by Mr. Nonaka presented the annual work-plan from April 2018 to March 2019. This included meeting schedule, training plan, plan for regional PFM guideline development, and database system development. EWG PFM members agreed for the annual plan 2018-2019.

Conclusion

The fourth EWG PFM meeting was conducted successfully with support from all state members and inputs from the SADC-JICA Project on Forest Conservation and Sustainable Management of Forest Resources in Southern Africa in partnership with the Center of People and Forests - RECOFTC from 2 to 6 October 2017 in Arusha, Tanzania. EWG PFM members got opportunities to review the PFM Regional Guidelines part 1, shared comments on PFM practices and drawing key lessons across the region. EWG members agreed on revised main objectives, provided comments on structure, and gave suggestions how to link with regional PFM guidelines part 2. Field visit inspired members to learn more about PFM funding mechanism and benefits sharing presented different countries and a co-management case. At the end of the meeting members agreed on annual work-plan 2018-2019, next steps for the PFM Regional Guideline part 1 and 2, plan for database development, training plan, and next meeting.

Annex 1: The participants list

No	Country	Participants list
1.	Angola	Ms. Elisabete Florisbela Filipe de ALMEIDA
2.		Mr. Oliveira Domingos Gonçalves
3.	Botswana	Mr. Sonny Mokgwathi
4.		Mr. Onalenna Manene
5.	Democratic Republic of Congo	Mr. Guy Landu Bikembo
6.		Mr. Frederic Bofale Bokako
7.	Lesotho	Ms. Lerato Putsoane
8.		Mr. Kompi Rasekoli
9.	Madagascar	Ms. Felanirina Rabevazaha
10.		Ms. Randriambao Nivo Malalatiana
11.	Malawi	Mr. Titus Zulu
12.		Ms. Ruttia Katiyi
13.	Mauritius	Mr. Ishan Chandra Beeharry
14.		Mr. Namdeo Nawjee
15.	Mozambique	Mr. Renato Timana
16.		Ms. Teresa Nube
17.	Namibia	Mr. Kaambu Teodor Ndilinane
18.		Ms. Kamuhelo Lisao
19	Seychelles	Mr. John Quilindo
20		Mr. Hansel Simara
21	South Africa	Ms. Mmakwena Maleka
22		Ms. Tshifhiwa Ramatshimbila
23	Swaziland	Mr. Nkosinathi Ndumiso Masuku
24		Mr. Evart Bhodloza Motsa
25	Tanzania	Mr. Emmanuel Msoffe
26		Mr. Juma R. Mwangi
27	Zambia	Ms. Joyce Munkombwe
28		Mr. Davison Mwela
29	Zimbabwe	Mr. Stephen Zingwena
30		Mr. Rodwell Diwura
31	CIFOR	Mr. Kaala Moombe
32	JICA Tanzania	Ms. Catherine Shirima
33.	SADC JICA PMU	Mr. Yoshiro Kurashina
34.	SADC JICA PMU	Mr. Katsutaka Kikkawa
35.	SADC JICA PMU	Mr. Issei Nonaka
36.	SADC JICA PMU	Mr. Takashi Nanaumi
37.	SADC JICA PMU	Mr. Kei Suzuki

38.	SADC JICA PMU	Mr. Sota Harako
39.	SADC JICA PMU	Mr. Jinliang Mi (Mai)
40.	RECOFTC	Mr. Ronnakorn Triraganon
41.	JICA Headquarters	Mr. Katsuro Saito

Annex 2: the Fourth SADC EWG PFM Meeting Agenda



**The SADC-JICA Project for Forest Conservation and Sustainable Management of Forest Resources in Southern Africa
4th Expert Working Group Meeting
Participatory Forest Management (PFM)**

**Tanzania, 02nd – 06th October 2017
PROGRAMME**

Time	Item	Format	Responsible
Day 1: 02 OCT			
08:00-08:30	Registration		
08:30-08:40	Welcome Remarks by Member States	Plenary	Host Country
08:40-09:00	Remarks by JICA (incl. 3rd JCC outcomes)	Plenary	JICA
09:00-09:20	Remarks by SADC	Plenary	SADC
09:20-09:40	Previous 3 rd EWG-PFM Review	Plenary	Chairperson
09:40-10:00	Aims and objectives of the 4th EWG-PFM	Plenary	PMU (Nonaka)
10:00-10:15	Tea Break		
10:15-11:15	“External funding” What is the opportunity and how to apply?	Presentation	PMU (Suzuki)
11:15-12:30	Formulating an action plan of external funding	Presentation	PMU (Suzuki)
12:30-13:30	Lunch Break		
13:30-14:00	PFM Database : Review of the PFM DB concept	Presentation	PMU (Nonaka)
14:00-15:00	Country report, Q&A on SADC PFM Guidelines Part 2	Workshop	EWG & PMU (Harako, Mai)

15:00-15:15	Tea Break		
15:15-17:00	Country report, Q&A on SADC PFM Guidelines Part 2	Workshop	EWG & PMU (Harako, Mai)
Day 2: 03 OCT			
08:30-10:30	Country report, Q&A on SADC PFM Guidelines Part 2	Workshop	EWG & PMU (Harako, Mai)
10:30-10:45	Tea Break		
10:45-12:30	Country report, Q&A on SADC PFM Guidelines Part 2	Workshop	EWG & PMU (Harako, Mai)
12:30 - 13:30	Lunch Break		
13:30-15:00	Country report, Q&A on SADC PFM Guidelines Part 2	Workshop	EWG & PMU (Harako, Mai)
15:00-15:15	Tea Break		
15:15-16:30	Country report, Q&A on SADC PFM Guidelines Part 2	Workshop	EWG & PMU (Harako, Mai)
16:30-17:00	Introduction for field visit	Presentation	PMU (Kikkawa)
Day 3: 04 OCT			
Full day	Field visit Forest training institute Olmotonyi & Duluti	Field Visit	EWG
Day 4: 05 OCT			
08:30-9:40	Review of field visit: “What is excellent point of FTI and Duluti? How to feedback to the SADC project?”	Discussion	EWG
09:40-10:00	Discussion point of SADC PFM Guidelines draft version 0 Part 1	Presentation	PMU (Nonaka)
10:00-10:15	Tea Break		
10:15-11:00	Reporting & Q&A session on SADC PFM Guidelines draft version 0 Part 1	Presentation Q&A	EWG (Moombe)
11:00-12:30	Reporting & Q&A session on SADC PFM Guidelines draft version 0 Part 1	Workshop	EWG

12:30 - 13:30	Lunch Break		
13:30-15:00	Reporting & Q&A session on SADC PFM Guidelines draft version 0 Part 1	Workshop	EWG
15:00-15:15	Tea Break		
15:15-17:00	Reporting & Q&A session on SADC PFM Guidelines draft version 0 Part 1	Workshop	EWG
Day 5: 06 OCT			
08:30-10:30	Reporting & Q&A session on SADC PFM Guidelines draft version 0 Part 1	Workshop	EWG
10:30-10:45	Tea Break		
10:45-11:30	Wrap-up for revising of SADC PFM Guidelines draft version 0 Part 1	Discussion	EWG
11:30-12:00	Way forward of progress on SADC PFM Guidelines	Presentation	PMU (Nonaka)
12:00-12:30	Drafting for Annual work plan	Presentation	PMU (Nonaka)
12:30 - 13:30	Lunch Break		
13:30-14:00	Drafting for Annual work plan	Presentation	PMU (Nonaka)
14:00-14:30	Venue and field visit for 5th EWG-PFM	Presentation	PMU (Kikkawa)
14:30-15:00	Wrap-up and making Chairperson summary for JCC	Discussion	EWG
15:00-15:15	Tea Break		
15:15-16:30	Wrap-up and making Chairperson summary for JCC	Discussion	EWG
16:30-17:00	Works for next EWG-PFM	Presentation	PMU (Nonaka)
17:00	Closing Remarks	Plenary	Chairperson

Annex 3: Annual work-plan 2018-2019

Annual work-plan 2018-2019

Activities		2018												2019								
		1	2	3	4	5	6	7	8	9	10	11	12	1	2	3	4	5				
Meeting	JCC EWG		4th			5th						6th					5th					
PFM-Guideline	Part 1		Ver1						ver2									ver3				
	Part 2 various practices																					
PFM R/DB	System development																					
	DB operation training																					
Skil-up training																						
Development Action Plan																						
Guidance for External Fund																						

■ JCC
 ■ EWG
 ■ Task by MSs
 ■ Task by DB specialist
■ Writing & editing D/GL by PFM specialist